



## Intermediary Applicant Details

**Intermediary Applicant Details**

Company Name:

Primary Contact:

Name:

Telephone:

Email:

Company Registration Number:

Registered Address:

Telephone:

Head Office Address:

Telephone:

Parent Company / Group Name:

Trading Names:

**Financial Information**Name & Address of  
Your Accountants:

Telephone:

Financial Year End:

Name &amp; Address of Your Bank:

Telephone:

How do you hold client money?

Are you authorised by the FCA?

Yes

No

Firm Reference No.

Are you registered under the  
Consumer Credit Act 1974?

**Professional Information**

Insured
Renewal Date:
Current Premium:
Indemnity Limit:
Claims History:

Your agency is contingent on holding a minimum professional indemnity of the type and cover stated above.

**Directors/Principal Parties**

1 Name:
Role:
Length of service with Company or Group:
Length of service in current role:
Shareholding:

2 Name:
Role:
Length of service with Company or Group:
Length of service in current role:
Shareholding:

3 Name:
Role:
Length of service with Company or Group:
Length of service in current role:
Shareholding:

4 Name:
Role:
Length of service with Company or Group:
Length of service in current role:
Shareholding:

Please attach the details of any further Directors/Principal Parties on a separate sheet

Have you or any of the Company Directors, Principals or Partners ever:

Been involved in liquidations, receiverships or bankruptcy?	Yes	No
Received an administration order or entered into any administration agreement?	Yes	No
Entered into any agreement with creditors that has been approved by court?	Yes	No
Been disqualified under Company Legislation?	Yes	No
Been found for negligence, fraud, misfeasance, or wrongful trading?	Yes	No
Has any Director, Partner, Executive or Member of your staff ever been convicted of, or charged with (but not yet tried for) a criminal offence other than a motoring offence?	Yes	No

**Further Information**

Computer/Broker Software Systems Used:	
Details of System Capability? (i.e. Quotes Only/Full Cycle EDI)	
Current Guaranteeing Broker?	

**Details of Product Distribution**

Please provide detail of the distribution channels you use/will use for Midas Underwriting Products.

Will you provide and Midas Underwriting products to other intermediaries?	Yes	No
Do you have and Sub-Agents?	Yes	No
Will your sub-agents have the ability to access Midas Underwriting products to place cover on behalf of the client?	Yes	No
Will you, or any of your sub-agents use Midas Underwriting products to transact business on behalf of affinity groups?	Yes	No
Will you or any of your Sub-Agents or a groups for who you or your Sub-Agents act, use Aggregators to quote for	Yes	No
Are you a Sub-Agent for any other agency?	Yes	No
Do you conduct any business by E-Commerce?	Yes	No
Has any Syndicate/Insurance Company/Lloyds Broker ever cancelled or refused your agency?	Yes	No
Has an Insurer/Carrier cancelled or terminated its relationship with you in the past 5 years?	Yes	No

**Existing Insurer Agencies**

(Please give details of the top 10 Insurance Companies with whom you currently hold your largest accounts)

	Company	Main Lines of Business	Size of Account (Annual Gross Premium)
1			
2			
3			
4			
5			
6			
7			
8			
9			
10			

**Please give details of your total account on a Gross Written Premium basis Further Information**

		Forecasted Income (Current Year)	Income (Last Year)
Premium Income (Gross)		£	£
Gross Premium Income (%)	Retail	£	£
	Commerical	£	£

**Premium Income Percentages by Business Type**

Caravan	%	PI	%
Commercial Motor	%	Motor Cycle	%
Commercial Property	%	Motor Trades	%
Fleet	%	Private Car	%
High Net Worth	%	Public/Private Hire	%
Holiday Homes	%	Specialist Vehicles	%
Household	%	Travel	%
Liability	%	Let Property	%
Specialist Schemes	%		

## Midas Underwriting Application Process

Midas Underwriting may make further enquiries about your Business and any individual within the Business. Such enquiries include but are not limited to:

- Credit searches on both the business and the individual
- References from the business accountant (if appropriate)
- References from other Financial Services Companies
- Searches of other records held at Companies House
- Other records as required for individual circumstances

Please note by signing this application you give Midas Underwriting permission to request this further information.

## Data Protection

Under the Data Protection Act 1988 we have to tell you who is responsible for deciding how your personal information will be used. The information you provide on this form including any sensitive personal information, for example any criminal convictions, will be used by Midas Underwriting to assess your suitability for the contract you have applied for. This may include undertaking credit checks and seeking references for the purposes of verification, identification, and in accordance with Midas Underwriting's regulatory requirements to ensure you are fit and proper to perform the activities permitted under the contract.

We may share your relevant personal information with other companies within the Midas Underwriting Group and the agents associated with Midas Underwriting to comply with regulatory obligations and for ongoing administration, management information and marketing purposes.

## Non-Disclosure

We undertake not to use information provided for any reason not detailed above. All information will be held in confidence and will not be made available to the market or placed in the public domain.

**Prior to returning this application, please ensure you have included all of the following information:**

1. Your P.I. Insurance Schedule
2. A copy of your most recent reports and accounts
3. Any further details attached on a separate page

Signed: \_\_\_\_\_

Print Name: \_\_\_\_\_

Position: \_\_\_\_\_

Date: \_\_\_\_\_



MIDAS